| U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | | | |
|--|---------------------------|-------------------------------|-------------------------|--|
| REISSUE UTILITY PATENT APPLICATION TRANSMITTAL PURSUANT TO 37 C.F.R. §§ 1.53/1.171 | | Attorney Docket No. 12093/837 | 9704 U.S. | |
| Reissue Applicants JEAN-PAUL MARDON; JEAN SENEVAT; and DANIEL CHARQUET | To Be Assigned | Filing Date Herewith | | |
| Reissue of United States Letters Patent No. 5,940,464 | Issued August 17, 1999 | Examiner To Be Assigned | Art Unit To Be Assigned | |

Assignee

FRAMATOME ANP

Mail Stop Reissue Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TUBE FOR A NUCLEAR FUEL ASSEMBLY,

AND METHOD FOR MAKING SAME

Transmitted herewith for filing is a Patent Application for a Reissue of United States Letters Patent No. 5,940,464, which is presently assigned to FRAMATOME ANP.

Applicant(s): JEAN-PAUL MARDON; JEAN SENEVAT;

and DANIEL CHARQUET

For: TUBE FOR

TUBE FOR A NUCLEAR FUEL ASSEMBLY,

AND METHOD FOR MAKING SAME

1. Enclosed are:

[X] A six (6) page patent application (including two (2) pages of specification, one (1) page of Abstract, and three (3) sheet(s) of drawing(s)), which is a copy of United States Letters Patent No. 5,940,464 in accordance with 37 C.F.R. § 1.173(a)(1) (a copy of the cover page of U.S. Patent 5,940,464 is also included).

[X] A signed PRELIMINARY AMENDMENT UNDER 37 C.F.R. §§ 1.121 and 1.173.

[X] A signed NOTIFICATION OF CONCURRENT PROCEEDINGS/PETITION UNDER RULE 182 TO MERGE /STAY THE PROCEEDINGS (to follow if not checked).

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Page 1 of 4

- 3. The reissue filing fee has been calculated as shown below:

a certified copy of which is filed herewith.

French Application No. 95/09166 filed on July 27, 1995.

| | NUMBER FILED | NUMBER EXTRA | RATE (\$) | FEE (\$) |
|--|--------------|-----------------------|-----------|--|
| BASIC FEE | | | | 750.00 |
| TOTAL CLAIMS | 8 - 20 = | | 18.00 | |
| INDEPENDENT CLAIMS | 1 - 3 | | 84.00 | |
| MULTIPLE DEPENDENT CLAIM PRESENT | | | 280.00 | ······································ |
| *Number extra must be zero or larger | | | TOTAL | 750.00 |
| If the applicant is a small entity under 37 C.F.R. §§ 1.9 and 1.27, then divide total fee by 2, and enter amount here. | | SMALL ENTITY TOTAL | | |

As regards the foregoing calculation, original U.S. Patent No.5,940,464 includes eight (8) total claims and one (1) independent claim. The copy of the Specification and Claims as filed herewith (which is a copy of U.S. Patent No. 5,940,464, as provided for by 37 C.F.R. § 1.173(a)(1)) also includes eight (8) total claims and one (1) independent claim. The Preliminary Amendment filed herewith amends one claim. Accordingly, the total number of claims remains eight (8) and the number of independent claims remains one (1).

2.

- 4. Please charge the required application filing fee of \$750.00 to the deposit account number 11-0600 of Kenyon & Kenyon.
- 5. The Commissioner is hereby authorized to charge payment of the following fees, associated with this communication or arising during the pendency of this application, or to credit any overpayment, to the deposit account number 11-0600 of Kenyon & Kenyon:
 - A. Any additional filing fees required under 37 C.F.R. § 1.16;
 - B. Any additional patent application processing fees under 37 C.F.R. § 1.17;
 - C. Any additional patent issue fees under 37 C.F.R. § 1.18;
 - D. Any additional document supply fees under 37 C.F.R. § 1.19;
 - E. Any additional post-patent processing fees under 37 C.F.R. § 1.20; or
 - F. Any additional miscellaneous fees under 37 C.F.R. § 1.21.
- 6. A duplicate copy of this transmittal paper is enclosed for that purpose.
- 7. In accordance with M.P.E.P. § 1442.02, Applicants respectfully requests that the present application be examined at this time.
- 8. It is respectfully submitted that the present application for a reissue of United States Letters Patent No. 5,940,464 and the Preliminary Amendment (which is an amendatory paper under 37 C.F.R. §§ 1.121 and 1.173) fully conform with the Rules of the Office.

ATTORNEY DOCKET NO. 12093/837

9. Please address all communications regarding this application to:

Richard L. Mayer, Esq. KENYON & KENYON One Broadway New York, New York 10004-1050

Please direct all telephone calls to Richard L. Mayer at (212) 425-7200.

Respectfully submitted,

Dated: 7/21/03

By: Do maget (19. No. 41,172)

By: Richard L. Mayer
(Reg. No. 22,490)

KENYON & KENYON One Broadway New York, New York 10004 212 425-7200 - Telephone

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PATENT TRADEMARK OFFICE

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Docket No.: 12093/837

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : MARDON et al.

Assignee : Framatome ANP

Serial Number : To be assigned

Filed : Herewith

U.S. Patent No. : 5,940,464

Issued : August 17, 1999

For : TUBE FOR A NUCLEAR FUEL ASSEMBLY,

AND METHOD FOR MAKING SAME

Examiner : To be assigned

Art Unit : To be assigned

Mail Stop Reissue Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

NOTIFICATION OF CONCURRENT PROCEEDINGS/PETITION UNDER RULE 182 TO MERGE THE PROCEEDINGS OR, IN THE ALTERNATIVE, TO STAY ONE PROCEEDING BECAUSE OF THE OTHER

SIR:

The Patent Owner hereby notifies the Commissioner that a reexamination of the above-identified patent, assigned Reexamination Control No. 90/005,589, is currently pending in the Patent Office. The current status of this reexamination is that it is on Appeal. The Patent Owner also respectfully petitions the Commissioner to either (1) merge this reexamination with the reissue application filed concurrently herewith or (2) stay the reexamination in favor of the reissue application. The justification for such a merger or stay is to avoid any inconsistent outcomes in these proceedings that may result if they are allowed to proceed independently of one another. In particular, the Patent Owner seeks, via the reissue proceeding, to amend claim 1 according to an amendment that was denied entry in the concurrent reexamination proceeding. Therefore, the potential for inconsistent results in

these proceedings due to the overlap between the issues raised in them warrants the merger or stay that the Patent Owner seeks.

The Commissioner is authorized to charge the \$130.00 petition fee under Rule 17(h) and any other fee that may be required to Deposit Account No. 11-0600. A duplicate of this Transmittal is enclosed.

Respectfully submitted,

KENYON & KENYON

Dated: 7/21/03

By: Lb Inget (B. No. 44,172)

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